

SUBCHAPTER 3F - LICENSEES UNDER MONEY TRANSMITTERS ACT

- 04 NCAC 03F .0101 APPLICATION FOR LICENSE**
- 04 NCAC 03F .0102 ISSUANCE OF LICENSE**
- 04 NCAC 03F .0103 REQUIRED REPORTS**

History Note: Authority G.S. 53-92; 53-194; 53-196; 53-197; 53-198; 53-200; 53-204;
Eff. February 1, 1976;
Repealed Eff. February 1, 1993.

- 04 NCAC 03F .0104 REVOCATION OF LICENSE**
- 04 NCAC 03F .0105 DENIAL OR REVOCATION OF LICENSE: HEARING: APPEAL**

History Note: Authority G.S. 53-92; 53-206; 53-207; 150A-11(1);
Eff. February 1, 1976;
Repealed Eff. January 1, 1985.

SECTION .0200 - ADMINISTRATIVE

- 04 NCAC 03F .0201 DEFINITIONS**

History Note: Authority G.S. 53-208.27;
Eff. February 1, 1993;
Amended Eff. November 1, 2013; September 1, 2006; June 1, 1995;
Repealed Eff. August 1, 2018.

- 04 NCAC 03F .0202 PERMISSIBLE INVESTMENTS**

History Note: Authority G.S. 53-193; 53-206.1;
Eff. March 1, 1994;
Repealed Eff. October 1, 2011.

SECTION .0300 - LICENSING

- 04 NCAC 03F .0301 INCOMPLETE APPLICATIONS**

Incomplete application files shall be closed and withdrawn without prejudice when the applicant has not submitted information requested by the Commissioner within 30 days of the request.

History Note: Authority G.S. 53-208.45; 53-208.60;
Eff. February 1, 1993;
Amended Eff. November 1, 2013;
Readopted August 1, 2018.

- 04 NCAC 03F .0302 ISSUANCE OF A LICENSE**
- 04 NCAC 03F .0303 LICENSE FEES**
- 04 NCAC 03F .0304 AGENT LOCATION FEE**

History Note: Authority G.S. 53-197; 53-200; 53-202; 53-206.1; 150B-21.2;
Eff. February 1, 1993;
Amended June 1, 1995;
Repealed Eff. October 1, 2011.

SECTION .0400 - OPERATIONS

- 04 NCAC 03F .0401 CERTIFICATE OF AUTHORITY**

History Note: Authority G.S. 53-203; 53-206.1; 150B-21.2;
Eff. February 1, 1993;
Repealed Eff. October 1, 2011.

04 NCAC 03F .0402 SURRENDER OF LICENSE

History Note: Authority G.S. 53-208.27;
Eff. February 1, 1993;
Repealed Eff. August 1, 2018.

SECTION .0500 - REPORTING AND NOTIFICATIONS

04 NCAC 03F .0501 GENERALLY ACCEPTED ACCOUNTING PRINCIPLES

History Note: Authority G.S. 53-208.27;
Eff. February 1, 1993;
Repealed Eff. August 1, 2018.

04 NCAC 03F .0502 STATEMENT OF NET WORTH

04 NCAC 03F .0503 ANNUAL STATEMENT

History Note: Authority G.S. 53-198; 53-199(b); 53-204(a); 53-206.1; 150B-21.2;
Eff. February 1, 1993;
Amended Eff. June 1, 1995;
Repealed Eff. October 1, 2011.

04 NCAC 03F .0504 AGENT ACTIVITY REPORTS

04 NCAC 03F .0505 AMENDMENTS TO APPLICATION

04 NCAC 03F .0506 REVOCATION OR CANCELLATION OF SURETY BOND

04 NCAC 03F .0507 CEASING OPERATIONS

04 NCAC 03F .0508 IMPAIRMENT OF MINIMUM NET WORTH

04 NCAC 03F .0509 DISHONOR OR DEFAULT IN PAYMENT INSTRUMENT

History Note: Authority G.S. 53-208.5; 53-208.8; 53-208.27;
Eff. February 1, 1993;
Amended Eff. November 1, 2013; June 1, 1995;
Repealed Eff. August 1, 2018.

SECTION .0600 - EXAMINATION; BOOKS AND RECORDS

04 NCAC 03F .0601 RECORD AND BOOKKEEPING REQUIREMENTS

04 NCAC 03F .0602 EXAMINATION FEE

History Note: Authority 53-208.15; 53-208.16; 53-208.20; 53-208.27; 53-282(c);
Eff. February 1, 1993;
Amended Eff. November 1, 2013;
Repealed Eff. August 1, 2018.

SECTION .0700 - LICENSE REVOCATION

04 NCAC 03F .0701 HEARINGS

History Note: Authority G.S. 53-206; 53-206.1; 53-207; 150B-21.2;
Eff. February 1, 1993;

Repealed Eff. October 1, 2011.